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Commissioner for Patents

Washington, DC 20231

OFGS File No.: P/3426-7 RE

Reissue Application of U.S. Patent No. 5,826,797

Inventor

: Carl L.C. KAH, III

Title

: OPERATIONALLY CHANGEABLE MULTIPLE NOZZLES

SPRINKLER

Enclosed herewith please find the following documents in the above-identified reissue application for United States Letters Patent:

10 28	Pages of Specification including Abstract and Claims Numbered Claims Calculated as 28 Claims for Fee Purposes					
3	_ Sheets of Drawing Containing Figures $1$ to $6$ . (Formal)					
<u>X</u>	Declaration and Power of Attorney					
<u></u>	Priority is Claimed under 35 U.S.C. §119:					
Conven	tion Date for Appln. S.N					
	Certified Priority Application					
X	Preliminary Amendment					
	Verified Statement Claiming Small Entity Status under 37 C.F.R. §1.27.					
	Return-Addressed Post Card					
OFGS C	neck No. 1320, which includes the fee of \$507.00, calculated as follows:					
	Basic Filing Fee:					
	Additional Filing Fees:					
	Total Number of Claims in Excess of 20, times \$18: 144.00					
	Number of Independent Claims in Excess of 3, times \$80: 160.00					
	One or More Multiple Dependent Claims: Total \$270					
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Respectfully submitted,

Ellen S. Tao

Registration No.: 43,383

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APP	LICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS		
	Fee Transmittal Form <i>(PTO/SB/56)</i> Submit an original, and a duplicate for fee processin	ng)	7. Foreign Priority Claim (35 U.S.C. 119) (if applicable)		
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	14. CORRESI	PONDEN	NCE ADDRESS		
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of

Carl L.C. KAH, III

Date: October 10, 2000

Patent No.: 5,826,797

Issued: October 27, 1998

For: OPERATIONALLY CHANGEABLE MULTIPLE NOZZLES SPRINKLER

Commissioner for Patents Washington, D.C. 20231

#### PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, please amend the above-identified application as follows:

#### IN THE SPECIFICATION:

Replace the paragraph beginning at column 3, line 52 with the following:

The nozzle assembly 1' is rotated and supplied with water through hollow drive shaft 5'. The nozzle housing 16' flow passage 15' is supplied with pressurized water from the hollow nozzle drive shaft 5' and is sealed to an insertable nozzle 84 and plate 80 with nozzle 81 by "O" ring 82 in groove 83 <u>in</u> the nozzle housing 16' flow passage 15'.

## **REMARKS**

This Preliminary Amendment corrects a typographical error found in the specification.

Entry of this Preliminary Amendment upon examination of the present reissue application is respectfully requested.

Respectfully submitted,

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# OPERATIONALLY CHANGEABLE MULTIPLE NOZZLES SPRINKLER

#### DESCRIPTION

#### 1. Technical Field

This invention relates to oscillatable sprinklers with multiple nozzles of different flow rates and discharge trajectories that can be selectably changed when the sprinkler is installed and operating.

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#### 2. Background Art

In U.S. Pat. No. 5,098,021 an integrated system is set forth for varying the flow rate of a single nozzle to meet precipitation rate requirements for varying arcs of oscillating coverage. No provision is provided to correct the flow rate 15 of the nozzle for varying ranges when using a nozzle stream break-up screw to limit the nozzle range.

U.S. Pat. No. 5,104,045 relates to sprinkler nozzles having flow passages for obtaining desired precipitation coverage. This patent shows how nozzles are typically installed 20 and retained in oscillating sprinkler nozzle housings.

U.S. Pat. No. 4,867,378 shows a sprinkler device for directing a flow of water therefrom having a single nozzle in a nozzle housing assembly, said sprinkler having an output drive shaft.

Other sprinklers in the market place have separate nozzles of different flow rates or trajectories but can only be installed into the sprinkler nozzle housing when the sprinkler is not operating. In order to change to a new desired nozzle the undesired nozzle which was installed in the sprinkler's nozzle housing must also be removed before the new desired replacement nozzle can be installed.

#### DISCLOSURE OF INVENTION

It is an object of this invention to make it possible to select 35 a nozzle for the desired range and flow rate to provide the desired precipitation rate while the sprinkler is operating. This is accomplished by molding or inserting various nozzles around the circumference of a cylinder which is rotationally mounted on the nozzle housing. The desired nozzle can be rotated into the flow path while the sprinkler is operating if it is desired to change the range and/or flow rate of the sprinkler. After installation if it is found that a local area of the yard needs more or less water from that of the other sprinklers running in that irrigation zone it is only necessary to rotate the multiple nozzle selection sleeve, or cylinder, to a different flow rate or trajectory nozzle as indicated around the top circumference of the nozzle selection sleeve to provide an increased or decreased precipitation for this area of the yard.

Also, the sprinkler may be shut off at the sprinkler by turning the nozzle selection cylinder to a blank rotational location indicated as off.

An alternate configuration is also shown which also 35 allows the nozzle to be changed during operation from the top and behind the stream, but has the disadvantage that the other nozzles must be carried separately and are not present on the sprinkler at all times and immediately available after installation.

This concept makes it simple to locally increase or decrease the sprinklers flow rate to better match the precipitation to varying soil or sun light conditions after the installation has been completed and the landscaping has stabilized.

Optimum water usage can thus be more easily achieved. The easy removal of the nozzle selection sleeve also makes

cleaning of dirt or debris from the nozzle easy compared to other sprinklers now on the market and can be done without having to shut the system off and then turned back on after the nozzle has been cleaned.

#### BRIEF DESCRIPTION OF DRAWINGS

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FIG. 1 is a fragmentary sectional side view of a rotatable sprinkler nozzle housing assembly being driven by an output shaft and showing the rotationally mounted multiple nozzle selection sleeve.

FIG. 2 is a top view of the nozzle housing assembly showing the nozzle identification around the top circumference of the nozzle selection sleeve. Also the removal slot and retention lug can be seen for retaining or allowing removal of the nozzle selection sleeve from the nozzle housing assembly.

FIG. 3 is a fragmentary sectional side view of a rotatable sprinkler nozzle housing assembly showing a nozzle insert plate removable and insertable from the top.

FIG. 4 is a top view with a cut away of the nozzle housing assembly showing the removable nozzle insert plate in position.

FIG. 5 shows the multiple nozzle selection sleeve 25 removed from the nozzle housing.

FIG. 6 shows a nozzle insert plate.

# BEST MODE FOR CARRYING OUT INVENTION

Referring to FIG. 1 and FIG. 2 of the drawings, a rotatable nozzle sprinkler is shown having a cylindrical nozzle housing assembly 1 mounted for rotation about axis x—x on the top of a riser assembly 2. The riser assembly has a center shaft opening at its upper end for the nozzle housing assembly drive shaft 5 to exit the riser assembly 2 and be connected to the nozzle housing assembly 1.

The nozzle drive shaft 5 is hollow and water is supplied to the nozzle housing 16 through the hollow center passage of the nozzle drive shaft 5 into a flow passage 15 in the nozzle housing 16. Water enters the riser assembly 2 at its lower end and is used to power a rotary drive mechanism for turning the nozzle drive shaft 5 before exiting the riser assembly through the hollow center passage of the nozzle drive shaft 5.

The nozzle housing 16 flow passage 15 extends through the nozzle housing 16 to the outside of the nozzle housing at an upward angle. The constructions of a nozzle housing with a flow passage is shown in U.S. Pat. No. 5,098,021 and U.S. Pat. No. 5,104,045.

The flow passage 15 in the nozzle housing does not determine the sprinkler's stream trajectory for this design. A separate nozzle selection cylindrical sleeve 40 which is rotationally mounted on the nozzle housing 16 has multiple individual nozzles 42 molded into the sleeve wall 44. Each nozzle can be separately configured to give a desired trajectory angle and sized to provide a desired flow rate.

The nozzle selection sleeve can be easily molded with each nozzle shape being determined by the shape of the end of individual core pins located radially around the mold's sleeve cavity. Also their entry angle into the sleeve cavity can be used to determine the nozzle trajectory. These core pins can be loaded out and cammed into molding position when the mold is closed to the molding position. Thus this design lends itself to mass production. The inside surface is the sleeve wall and serves as the upstream surface of the sharp edge nozzle passages. This is a satisfactory sharp edge

orfice configuration for sprinkler nozzle ranges of 40 feet and less. If a contour on the upstream side of the nozzles is required the nozzles requiring this may be inserted and sonic welded or solvent welded into place or a more complicated plastic injection molding tool can be fabricated with short 5 travel cores around the inside of the sleeve to also provide upstream contour for the nozzles which require it. The nozzle selection sleeve is shown separately in FIG. 5.

The nozzle selection sleeve 40 is rotated by holding the lower portion of the nozzle housing 46 while turning the nozzle selection sleeve 40 with rim 48 until the position selection arrow 50 points to the proper alignment line 52 on the top ring area 68 of the nozzle selection sleeve 40.

The flow passage 15 of nozzle housing 16 is pressure sealed to the rotational nozzle selection sleeve 40 by an "O" ring 60 in groove 62 which is molded into the nozzle housing 16 around the flow passage 15 opening to the outside of the nozzle housing 16. Obviously, the "O" ring or any other cross sectionally shaped seal (60 in FIG. 1 and 80 20 in FIG. 3) bears against the inner surface of the nozzle selection sleeve (40 in FIG. 1 and 80 in FIG. 3) to assure positive scaling around the discharge end of the passage 15 and 15' respectively. This assures that the water intended to be discharged through the orifice or nozzle for sprinkling purposes is not adversely affected and water will not leak internally in the nozzle housing which would adversely impact the sprinkler. This can be done by putting the "O" ring groove 62 shape on the sliding core of the plastic injection molding tooling that generates the side hole of flow 30 passage 15 in the nozzle housing 16.

The nozzle selection sleeve 40 can be retained on the nozzle housing 16 by lip 66 which over hangs rim 68 of the nozzle selection sleeve 40. The nozzle selection sleeve 40 may be removed from the nozzle housing 16 by rotating it 35 to the position where notch 64 in rim 68 under lies lip 66 and the nozzle selection sleeve 40 can then be removed or put onto the nozzle housing 16. Taper 63 on the inside circumference bottom edge of the nozzle selection sleeve allows the sleeve to move over the "O" ring seal during installation. 40

The nozzle selection sleeve 40 is shown removed from the nozzle housing in FIG.5. Different sleeves with different nozzles selections can be provided for the same sprinkler.

An alternate configuration is shown in FIG. 3 and FIG. 4 in which the nozzle may also be changed from the top of the sprinkler with the sprinkler operating.

Referring to FIG. 3 and 4 of the drawings a rotating nozzle sprinkler housing is shown having a cylindrical nozzle housing assembly 1' mounted for rotation about axis x—x on 50 the top of a riser assembly 2'.

The nozzle assembly 1' is rotated and supplied with water through hollow drive shaft 5'. The nozzle housing 16' flow passage 15' is supplied with pressurized water from the hollow nozzle drive shaft 5' and is sealed to an insertable 55 nozzle 84 and plate 80 with nozzle 81 by "O" ring 82 in groove 83 the nozzle housing 16' flow passage 15'.

The nozzle plate slides down into groove 86 of the nozzle housing 16' and is pressed against the nozzle "O" ring seal 82 which is compressed slightly as the lead in taper 100 of the nozzle plate passed the "O" ring seal 82.

The nozzle plate is removable from the top of the nozzle housing by putting a screwdriver or sprinkler key into recess 90 and lifting the nozzle plate by edge 91.

A nozzle plate is shown removed from the nozzle housing in FIG. 6.

#### I CLAIM:

1. A sprinkler [having] comprising:

a rotatable nozzle housing having a water passage formed therein;

an output shaft mechanically connected to said rotatable nozzle housing for rotating said nozzle housing:[,]

a manually adjustable rotatable sleeve having an inner surface and a plurality of circumferentially spaced orifices.[;] said rotatable sleeve [is] being slidably installed around the nozzle housing and being in rotational relationship therewith and thereto; and

[sealing means surrounding the discharge end of a water passage formed in said nozzle housing; said sealing means including] a seal member surrounding the discharge end of the water passage and dimensioned to continuously bear against said inner surface of said rotatable sleeve to provide a sealed connection to the pressurized water passage of the nozzle housing,

wherein said rotatable sleeve [is] <u>can be</u> selectively positioned to align one of said plurality of orifices with said discharge end of the water passage for distributing water outwardly from said sprinkler[, and means for retaining said nozzle selection sleeve in place].

- 2. A sprinkler as claimed in claim 1 including nozzle means in said manually adjustable rotatable sleeve.
- 3. A sprinkler as claimed in claim 1 wherein each orifice of said plurality of orifices are configured to provide a different desired flow characteristic.
- 4. A sprinkler as claimed in claim [3] 1, wherein said sealing [means] member is an "O" ring.
- 5. A sprinkler as claimed in claim [3] 1, wherein said rotatable nozzle housing is cylindrical and includes an outer surface, and said [separate] rotatable sleeve is dimensioned so that the outer diameter thereof is substantially equal to the <u>outer diameter of</u> said outer surface of said rotatable nozzle housing.

- 6. A sprinkler as claimed in claim [3] 1, wherein a portion of said [separate] rotatable sleeve is blank, and wherein [so that] said [separate] rotatable sleeve [is] can be selectively positioned so that said blank portion overlies said discharge end of said water passage whereby said sprinkler is capable of being turned off without turning off the water supply.
- 7. A sprinkler as claimed in claim 6, wherein said rotatable nozzle housing includes a reduced diameter surface, said inner [diameter] surface of said rotatable sleeve being in slidable relationship with said reduced diameter surface of said rotatable nozzle housing.
- 8. A sprinkler as claimed in [claims] <u>claim</u> 7, <u>further comprising</u> [including] a riser assembly operatively connected to said rotatable nozzle housing, said riser assembly including a cylindrical member having an outer diameter, the outer diameter of said [separate] rotatable sleeve being substantially equal to the diameter of said outer diameter of said cylindrical member.
- 9. A sprinkler as set forth in claim 3 wherein said rotatable nozzle housing includes indicia on the top indicating the location of each orifice of said plurality of orifices and/or its flow characteristic.
- 10. A sprinkler as claimed in claim [3] 1, wherein said [separate] rotatable sleeve is slidably installed from the top of said sprinkler.
- 11. A sprinkler as claimed in claim 1, wherein said nozzle housing <u>further</u> includes an outer surface, <u>and</u>

gripping means formed on said outer surface to hold said nozzle housing from rotating when said sleeve is rotated.

12. A sprinkler [having] comprising:

a rotatable nozzle housing having a water passage formed therein and a central axis about which said rotatable nozzle housing rotates;

a [relatively flat] nozzle plate having [a front side, a back side, a top edge, a bottom edge

and opposing side edges;] at least one orifice disposed in said nozzle plate [aligning] to be aligned with [a] the water passage formed in said rotatable nozzle housing for discharging water from the side of said rotatable nozzle housing at a given characteristic,

water passage in said rotatable nozzle housing [and disposed generally parallel to said central axis, said front side having] so that a planar surface of said nozzle plate can be sealed to the water passage by [facing the discharge end of said water passage, sealing means surrounding said discharge end of said water passage formed in said nozzle housing; said sealing means including] a seal member surrounding [the discharge end of] the water passage and dimensioned to continuously bear against said planar surface to provide a sealed connection to the pressurized water passage of the nozzle housing, [means on the top edge of]

wherein said nozzle plate is accessible from the top of said rotatable nozzle housing [wherein said nozzle plate is] so as to be removable while said sprinkler is operational for insertion of [other] another nozzle plate [plates with different orifices] having at least one orifice and having different flow characteristics from those of the removed nozzle plate.

- 13. A sprinkler as claimed in claim 12, wherein said seal member is an "O" ring.
- 14. A sprinkler as claimed in claim 12, including a tapered recess formed at one end of said groove and a mating tapered portion formed on said [bottom edge and said back side] nozzle plate to urge said nozzle plate against said seal member.
- 15. A sprinkler as claimed in claim 12, including a riser operatively connected to said rotatable nozzle housing, said riser and said nozzle housing being cylindrically shaped and the outer diameter of said riser and the outer diameter of said nozzle housing being substantially equal.
  - 16. A water sprinkler [having] comprising:
    a riser assembly;[,]
    a drive shaft extending from the top of said riser assembly;[,]
    a nozzle housing assembly[, said nozzle housing assembly] having

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1444 1444 1444 a housing connected to said drive shaft for rotation therewith, [said nozzle housing assembly having]

a cylindrical outer surface, and

a flow passage formed therein which has an exit at said cylindrical outer surface;

and

a cylindrical nozzle selection sleeve [being] mounted over the outer surface of said housing to rotate therewith and being manually [rotated] <u>rotatable</u> relative to said housing, said nozzle selection sleeve having a sleeve wall with a multiplicity of individual nozzles spaced therearound, [said housing having a flow passage therein with an exit at said cylindrical outer surface,] said individual nozzles being positioned on said sleeve wall so that each nozzle becomes aligned with said flow passage exit as the nozzle selection sleeve is rotated.

- 17. A water sprinkler as claimed in claim 16 wherein each of said individual nozzles includes a sharp edged orifice formed therein in the process of molding the nozzle selection sleeve.
- 18. A water sprinkler as claimed in claim 16, further including a sealing [means] member surrounding said flow passage exit to provide a sealed connection to the pressurized water passage of the nozzle housing.
- 19. A water sprinkler as claimed in claim 18, wherein said sealing [means] member includes an "O" ring.

### 20. A sprinkler comprising:

a riser assembly;

<u>a rotatable nozzle housing having a flow passage formed therein for discharging water</u> <u>therefrom;</u>

a drive shaft extending from the riser assembly and connected to the nozzle housing for rotating the nozzle housing relative to the riser assembly; and

at least one selectable nozzle arrangement for aligning one of a plurality of nozzle orifices with the flow passage to distribute water from the sprinkler according to desired flow

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characteristics, wherein the plurality of nozzle orifices are each configured mutually differently to provide different flow characteristics, and wherein a selected one of the plurality of nozzle orifices to be aligned with the flow passage can be changed while the sprinkler is operational.

- 21. The sprinkler according to claim 20, wherein the at least one selectable nozzle arrangement includes at least one nozzle plate each having at least one orifice disposed therein.
- 22. The sprinkler according to claim 21, wherein the nozzle housing has a groove formed therein, whereby a nozzle plate can be slidably fitted into the groove and accessible from the top of the nozzle housing so as to be mountable in the nozzle housing while the sprinkler is operational and similarly removable therefrom for insertion of another nozzle plate having another of the plurality of differently configured nozzle orifices.
- 23. The sprinkler according to claim 21, wherein each nozzle plate is mountable in the nozzle housing so as to intersect the flow passage to align the selected one of the differently configured nozzle orifices with the flow passage, whereby a pressurized flow of water flowing through the flow passage is forced through the selected nozzle orifice to achieve the desired flow characteristics.
- 24. The sprinkler according to claim 21, wherein the at least one nozzle plate includes a nozzle plate having an insertable nozzle inserted into the plate.
- 25. The sprinkler according to claim 20, wherein the at least one selectable nozzle arrangement includes a nozzle sleeve mountable around and dismountable from the rotatable nozzle housing, the nozzle sleeve being rotatable relative to the rotatably nozzle housing and having the plurality of nozzle orifices formed therein.
- 26. The sprinkler according to claim 20, wherein the selectable nozzle arrangement is removable from the sprinkler housing while the sprinkler is operational so as to be exchangeable.

# 27. A sprinkler comprising:

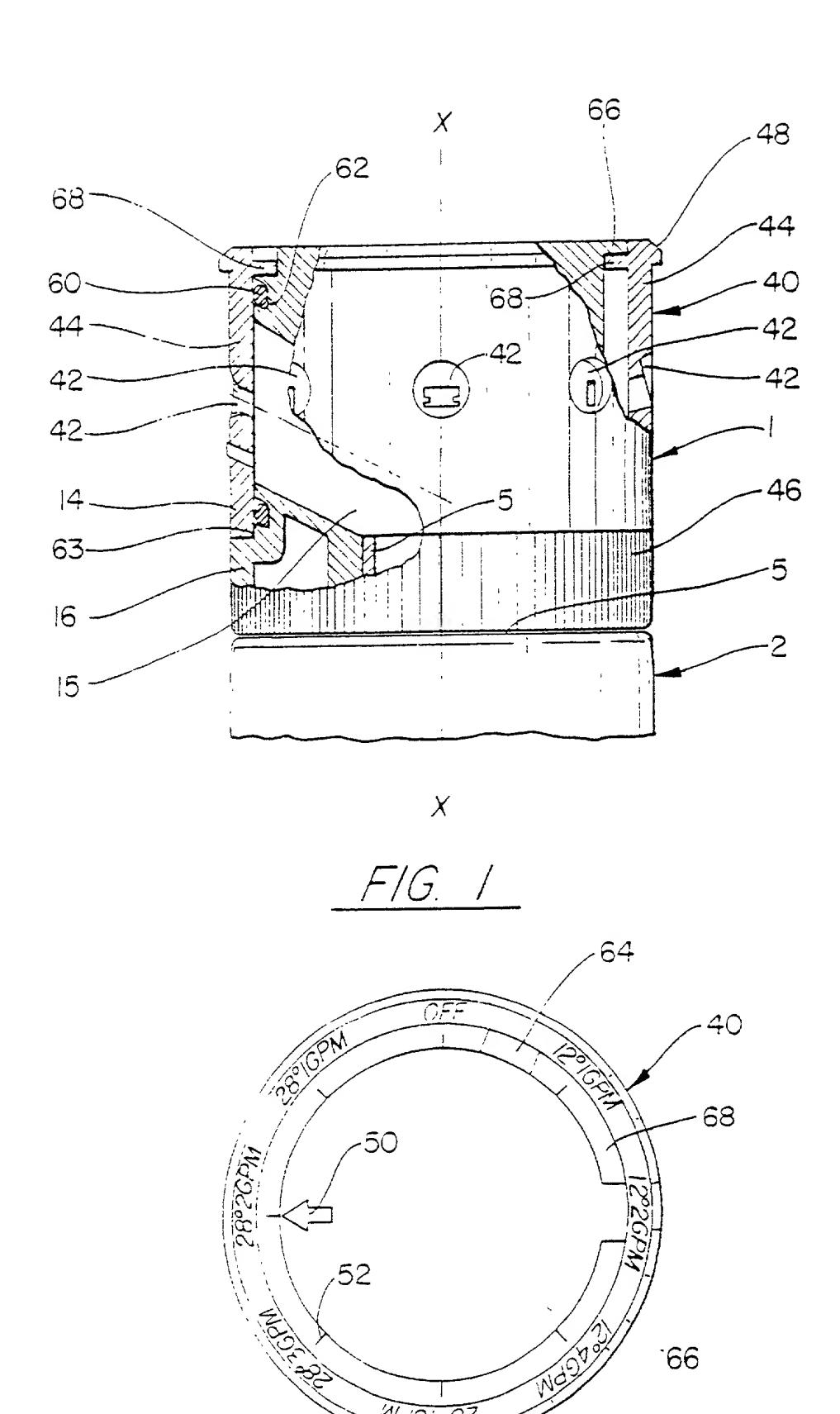
a riser assembly;

<u>a rotatable nozzle housing having a flow passage formed therein for discharging water</u> <u>therefrom;</u>

a drive shaft extending from the riser assembly and connected to the nozzle housing for rotating the nozzle housing relative to the riser assembly; and

a nozzle plate including a selected orifice therein to be aligned with the flow passage for discharging water from the rotatable nozzle housing according to a desired flow characteristic.

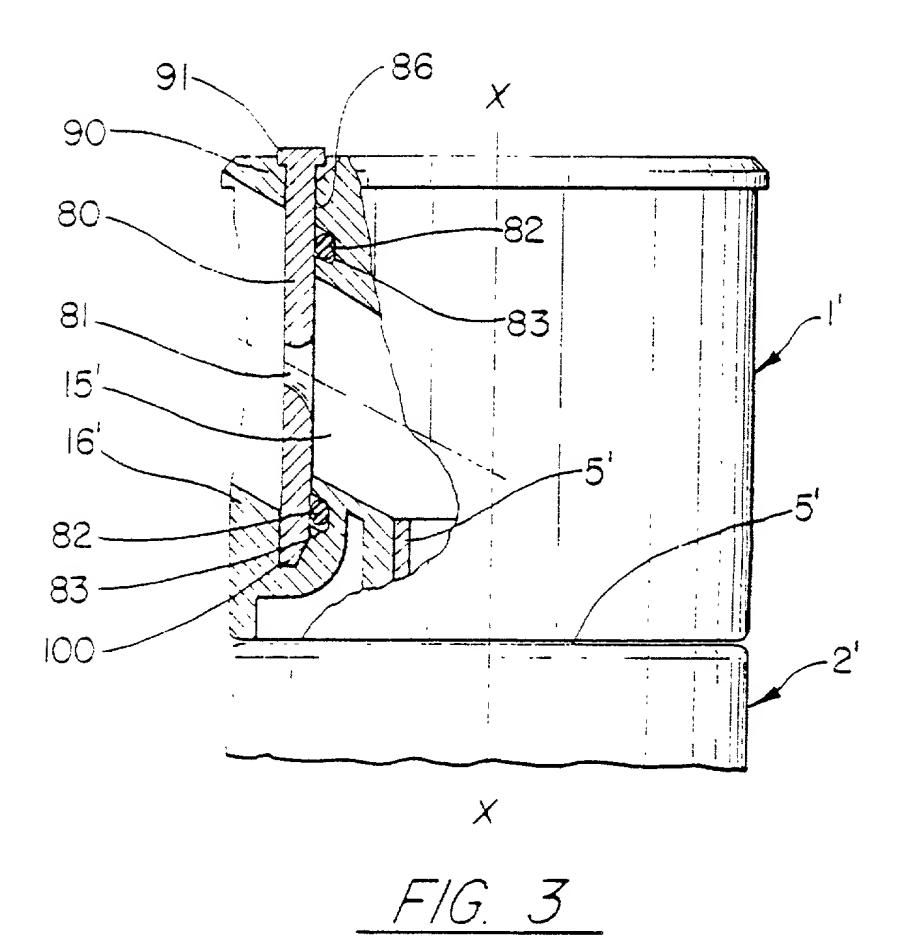
28. The sprinkler according to claim 27, wherein the nozzle plate is fitted into a complementary opening formed in the rotatable nozzle housing to intersect the flow passage to force a pressurized water flow through the selected orifice to provide a desired precipitation rate for a desired arc of coverage.

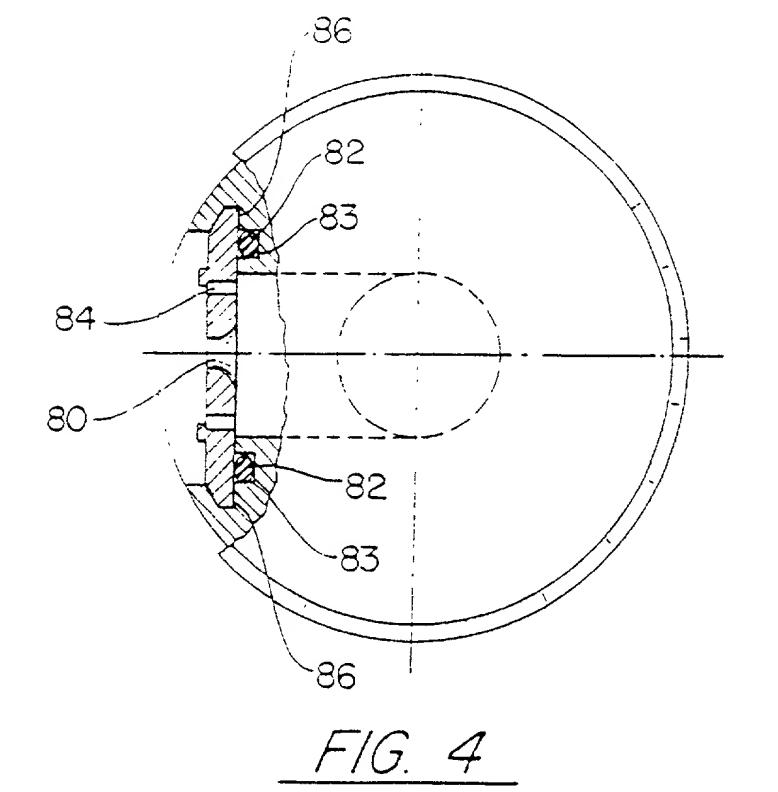


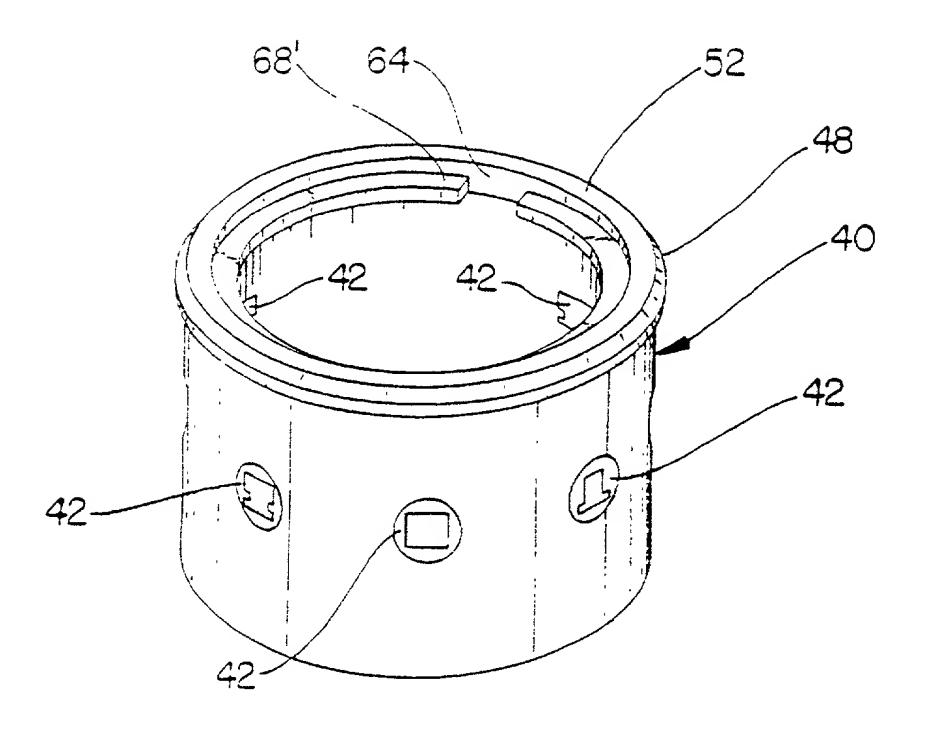
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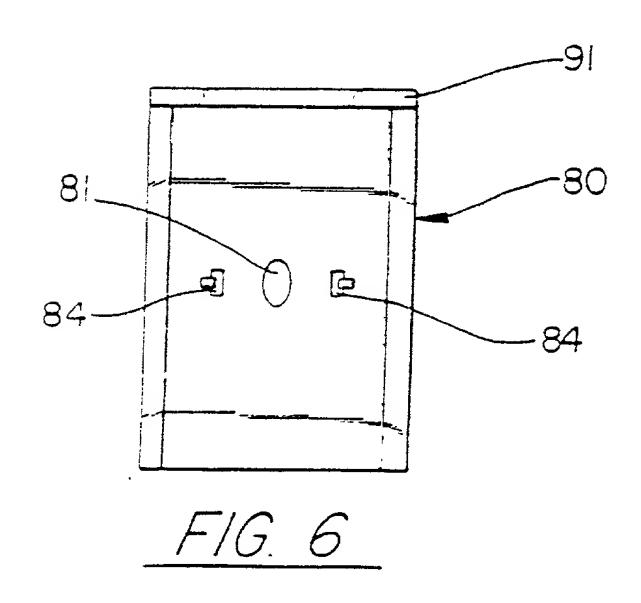
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# COMBINED DECLARATION AND POWER OF ATTORNEY OF CARL L.C. KAH, III FOR REISSUE PATENT APPLICATION

OFGS DC

# I, Carl L.C. Kah, III, declare as follows:

- My residence, post office address and citizenship are as stated below next to 1. my signature.
- 2. I believe I am the original, first and sole inventor of the subject matter which is described and claimed in U.S. Patent number 5,826,797 ("the '797 patent"), granted October 27, 1998, and for which a reissue is sought on the invention entitled OPERATIONALLY CHANGEABLE MULTIPLE NOZZLES SPRINKLER, in accordance with the preliminary amendment filed herewith, the specification of said patent being attached hereto.
- I have reviewed and understand the contents of the above identified patent, including the specification and claims, as amended by any amendment referred to above.
- I acknowledge the duty to disclose all information known to be material to 4. patentability in accordance with 37 C.F.R. § 1.56.
- I verily believe the '797 patent to be partly inoperative by reason of my claiming less than I had a right to claim in the patent.
- 6. At least one error upon which reissue is based is that independent claims 1 and 12 recite "means for" language, which, under 35 U.S.C. § 112, 6th paragraph, is construed to cover the corresponding structure described in the specification and equivalents thereof. Such a definition of the invention is narrower than necessary to distinguish the claimed invention over the prior art. Thus, I claimed less than I had a right to claim in the '797 patent.

# POWER OF ATTORNEY

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Stanley H. Lieberstein - Reg. No. 22,400; Steven I. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625 and Douglas A. Miro - Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO:

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Carl L.C. Kah, III Residence and Post

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Office Address: 778 Lakeside Drive

North Palm Beach, FL 33408

Citizenship: U.S.A.

Carl L.C. Kah, III

Date

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